



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,495	01/02/2001	Jeffrey H. Sherman	AVISTA/209-1014

CONFIRMATION NO. 2162

## FORMALITIES LETTER



\*OC000000005774806\*

THOMASON, MOSER & PATTERSON, L.L.P.  
3040 Post Oak Blvd., Suite 1500  
Houston, TX 77056

Date Mailed: 02/16/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SECTOR #4

PATENT  
Atty. Dkt. AVISTA/209-1014



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: §  
Sherman et al. §  
Serial No.: 09/753,495 §  
Filed: January 2, 2001 §  
For: METHOD OF REMOVING §  
CONTAMINANTS FROM §  
PETROLEUM DISTILLATES §

Group Art Unit: 1764  
Examiner: Unknown

Assistant Commissioner for Patents  
Box MISSING PARTS  
Washington, D.C. 20231

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited on April 9, 2001, with the U. S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231.	
April 9, 2001	
Date	N. Alexander Nolte

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Applicant files this Response to a Notice to File Missing Parts within the two-month period set to expire on April 16, 2001. The notice (copy enclosed) indicates that the application was filed without the basic filing fee and an oath or declaration.

Enclosed for filing in response to the Notice to File Missing Parts in the above-referenced continuation application is an authorization for payment of the required fees and a copy of the original declaration and assignment filed in the parent application, now U.S. Patent No. 6,179,999, from which the present continuation application claims priority. The submission of copies from the parent case is made in accordance with M.P.E.P. §201.06(c).

Applicants have also enclosed a Statement of Ownership under 37 C.F.R. 3.73(b) and a Power of Attorney for the above identified application in response to the Notice to File Missing Parts.

The Commissioner is hereby authorized to charge \$430.00 as payment of the statutory basic filing fee and surcharge associated with this Communication and Response, in addition to the \$40.00 fee for recording the attached assignment in the current continuation application.

These charges, along with any credits and/or overpayments should be charged to Counsel's Deposit Account No. 20-0782/AVISTA/209-1014.

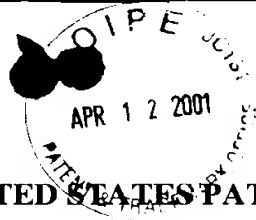
Respectfully submitted,



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N. Alexander Nolte  
Registration No. 45,689  
THOMASON, MOSER & PATTERSON L.L.P.  
3040 Post Oak Blvd., Suite 1500  
Houston, Texas 77056  
713/623-4844  
Attorney for Applicant

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sherman et al.

Serial No.: 09/753,495

Filed: January 2, 2001

For: METHOD OF REMOVING  
CONTAMINANTS FROM  
PETROLEUM DISTILLATES

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Group Art Unit: 1764

Examiner: Unknown

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited on <u>04/09/01</u> , 2001 with the U. S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
<u>04/09/01</u> Date	<u>[Signature]</u> Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**STATEMENT UNDER 37 CFR 3.73(b)**

Miami University, a University in the State of Ohio, states that it is the Assignee of the entire right, title, and interest in U.S. Patent No. 6,179,999 by virtue of an express assignment of the entire right, title, and interest in the patent from the named inventors to Miami University. The assignment was recorded in the United States Patent and Trademark Office at Reel 010325, Frame 0819, a copy of which is attached hereto. As a result of the attached assignment, Assignee is also the owner of the entire right, title, and interest in all continuation and divisional applications based upon U.S. Patent No. 6,179,999. Therefore, Assignee is the owner of the entire right, title, and interest in the above identified continuation application, which is based upon U.S. Patent No. 6,179,999.

The undersigned states that (s)he is empowered to legally execute this Statement of Ownership on behalf of the Assignee.

4/5/01  
Date

[Signature]  
Title: RICHARD M. NORMAN  
Vice President for Finance and  
Business Services and Treasurer